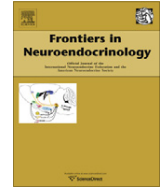




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Review

Genes, hormones, and circuits: An integrative approach to study the evolution of social behavior

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ABSTRACT

Tremendous progress has been made in our understanding of the ultimate and proximate mechanisms underlying social behavior, yet an integrative evolutionary analysis of its underpinnings has been difficult. In this review, we propose that modern genomic approaches can facilitate such studies by integrating four approaches to brain and behavior studies: (1) animals face many challenges and opportunities that are ecologically and socially equivalent across species; (2) they respond with species-specific, yet quantifiable and comparable approach and avoidance behaviors; (3) these behaviors in turn are regulated by gene modules and neurochemical codes; and (4) these behaviors are implemented by brain circuits such as the mesolimbic reward system and the social behavior network. For each approach, we discuss genomic and other studies that have shed light on various aspects of social behavior and its underpinnings and suggest promising avenues for future research into the evolution of neuroethological systems.

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1. Introduction

All animals continuously integrate their internal physiological state with environmental events and subsequently choose one action over another to increase their chances of survival and reproduction. These decisions are about obtaining and defending resources (such as food, shelter or mates) or evading danger (such as predator avoidance), and they often take place in a social context, such as dominance hierarchies, mate choice, and/or offspring care. Even though the survival value and evolution of behavioral decisions have been examined in great detail by behavioral ecologists [154], we are just now beginning to understand the neural and molecular mechanisms underlying these decision-making processes. As biologists have moved beyond the ultimately fruitless debates about the relative contributions of nature and nurture, we have come to understand that behavior – like all phenotypes – is the result of interactions between genetic, environmental, and developmental/epigenetic processes [8,50,120,248,293,316]. At the same time, comparative studies have illuminated the behavioral, neural, and molecular underpinnings of behavior, suggesting that – similar to developmental [38,284] and genetic systems [178] – at least some of the mechanisms regulating behavior across

multiple levels of biological organization are conserved in a wide range of species [135,202,214,231,241,308].

How do animals decide which behavioral action to take when faced with a complex array of sensory stimuli and internal state conditions, and how did such a decision-making system evolve? In this review, we incorporate recent insights from a range of biological disciplines into a framework that promotes an integrative understanding of the evolution, survival value, causation, and development of behavioral decisions, as first proposed almost half a century ago by Tinbergen [278], the Nobel-prize winning co-founder of the scientific study of behavior [31].

We outline four pillars to support this framework (Fig. 1) and discuss them in the light of functional genomics. First, given the astonishing diversity of behavioral displays we find in nature, we need to define behavioral contexts of relevance to the life history and ecology of any given species such that comparisons across taxa are as unbiased as possible (see [109,214], for detailed discussions of this difficult subject). All animals, at one time or another, face challenges (e.g., territorial intrusions; competition for shelter; predation) as well as opportunities (e.g., finding a mate; a chance to climb in the social hierarchy; obtaining food) that affect their chances of survival and reproduction in similar ways. We suggest that comparative studies into the mechanisms of social behavior should expose individuals of different species to equivalent social stimuli. Second, by carefully determining the relative amounts of approach and avoidance (or withdrawal; see Schneirla [244] for a classical appraisal of this concept) in any challenge/opportunity

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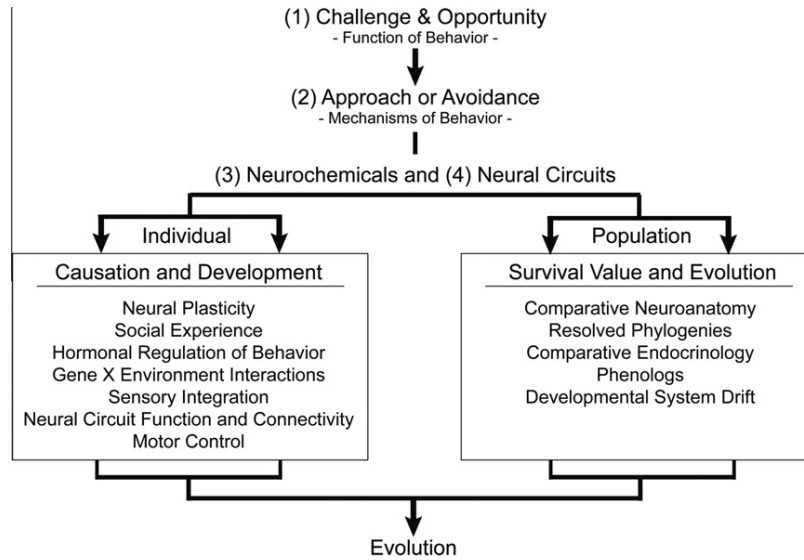


Fig. 1. An integrative framework for the analysis of social behavior and its evolution. Themes for studying both the proximate and ultimate mechanisms of social decision-making are presented on the level of the individual (left panel) and the population (right panel).

88 context we can obtain quantitative behavioral and physiological
 89 measures as an entry point into the neural, endocrine, and molec-
 90 ular mechanisms of the behavioral response in question. Third, the
 91 remarkably conserved actions of hormones, specifically sex steroid
 92 and neuropeptide hormones, in the regulation of behavior have
 93 long been a focus of research [1,15,49,83,134,165,288]. Similarly,
 94 the role of catecholamines, dopamine in particular, in encoding
 95 the salience and rewarding properties of a (social) stimulus
 96 appears to be conserved across a wide range of animals
 97 [22,111,314]. In addition, it has become evident that the coordi-
 98 nated activity of sets of genes (modules) can be conserved across
 99 species [169,259] or within species life history stages [9]. Fourth,
 100 because the orchestration of these neuroendocrine and molecular
 101 processes follows complex spatial and temporal patterns through-
 102 out the brain [48,130,195,313], we require a detailed understand-
 103 ing of the neural circuits involved in this regulation, such as the
 104 social behavior network [48,94,195] and the mesolimbic reward
 105 system [58,297]. Of course, within a comparative framework a
 106 neural network approach can only be accomplished if the homol-
 107 ogy relationships for the relevant brain regions have been resolved
 108 across a wide range of taxa [198,200,270].

109 **2. Universal properties of living systems**

110 All living systems share the same macromolecules (nucleic
 111 acids, amino acids) for the storage, transfer, and utilization of infor-
 112 mation, which is considered strong evidence for a common origin
 113 of life on earth. Even more important to modern biology, it sug-
 114 gests that throughout evolutionary history a shared set of building
 115 blocks – “tool box” [40,219] – has been deployed and expanded
 116 upon as novel traits and lineages arose. Based on the whole gen-
 117 ome sequences that have become available for diverse species,
 118 we now know that a remarkably large number of protein-coding
 119 genes are shared (have orthologs) across all animals (and, to a les-
 120 ser extent, all organisms). Similarly, conserved non-coding regions
 121 dispersed throughout the genome appear to play important regula-
 122 tory and developmental roles across a wide range of taxonomic
 123 groups [220,257].

124 The realization that protein-coding genes are so highly con-
 125 served across species raises a question that is fundamental to our
 126 understanding of genetic information: how can highly conserved

127 genetic codes generate the astounding array of body types and
 128 behavioral expression that mark the diversity of life? Advances in
 129 understanding the human genome have come from comparing varia-
 130 tion in the sequences and in the expression patterns of genomes
 131 across species [39], a process that amounts to an experimental
 132 manipulation of genetic components, with nature providing the
 133 independent variables, and anatomy, physiology, and behavior
 134 being the dependent variables that allow us to understand the
 135 function of genetic sequences. Comparative genomics has given
 136 us the tools to dissect the human or any other genome with re-
 137 gards to transcription initiation sites, splice sites, number of pro-
 138 tein-coding genes, as well as genes that do not follow canonical
 139 rules. Importantly, comparative genomics has been of tremendous
 140 utility for delineating promoter and other regulatory sequences,
 141 and the discovery of RNA genes and microRNAs [3,43,215]. Thus,
 142 genomics is most useful as a comparative science, and is instru-
 143 mental for understanding the variation of brain and behavior
 144 across species and how this variation evolved.

145 Comparative research into the evolution of developmental pro-
 146 cesses (evo-devo) has taught us that regulatory pathways and
 147 developmental programs underlying morphological differentiation
 148 (e.g., those controlled by homeotic genes) are highly conserved
 149 across a wide range of taxa [38]. Small variations (e.g., via gene reg-
 150 ulation in space and time, gene duplication/subfunctionalization)
 151 in these pathways can result in morphological novelties that may
 152 give rise to new lineages in the course of evolution. One example
 153 is the repeated convergent evolution of eyes as image-forming de-
 154 vices likely evolved independently in numerous lineages [80].
 155 However, the transcription factor PAX6 appears to be crucial in
 156 the developmental programs of eyes in a range of distant lineages
 157 [35], suggesting that this gene has been recruited into an eye
 158 developmental program on multiple independent occasions. As
 159 PAX6 is pleiotropic (i.e., plays a key role in several other develop-
 160 mental programs), it remained intact during long periods of “eye-
 161 lessness”. Thus, as has previously been suggested, such “deep
 162 homologies” [121,230,255,272,299] might also underlie social
 163 behavior that – given the appropriate functional context and selec-
 164 tion pressure – has evolved independently in multiple lineages
 165 [281]. Here, we provide an explicit framework to study the
 166 evolution of social behavior, spanning an arch from functionally
 167 equivalent social contexts via quantitative behavioral measures

168 and molecular mechanisms of neural circuit function, all the way
169 to the conserved roles of neurochemical systems.

170 3. Challenge and opportunity

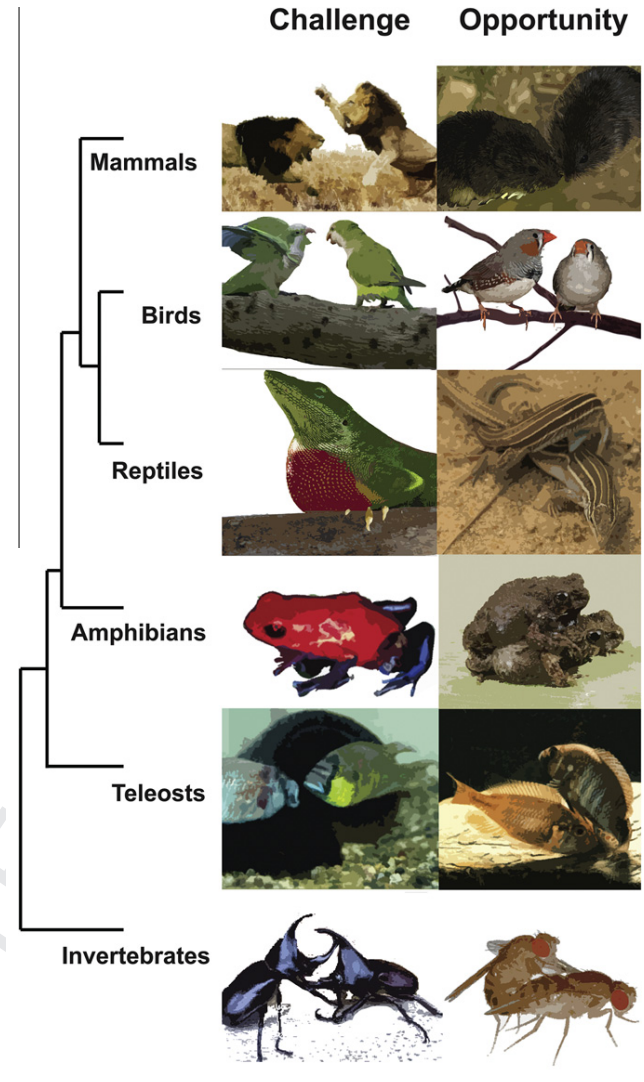
171 An important consideration in studying how behavioral decisions
172 are made and evolve is how to compare such complex behavior
173 patterns across diverse species. While it has long been
174 recognized that a full understanding of biological processes re-
175 quires the study of diverse model systems [157], behavioral dis-
176 plays are remarkably diverse across species. This often confounds
177 the comparisons of neural and molecular mechanisms underlying
178 a given behavior across species [109,214]. Therefore, we need a
179 framework in which to study behavior that is placed in an evolu-
180 tionary context and that can be applied across many taxa.

181 We propose a classification scheme based on two of Tinbergen's
182 [278] "four questions" – survival value and evolution – by categor-
183 izing animal behavior according to the life history and/or ecologi-
184 cal context in which it takes place. Specifically, social interactions
185 related to reproduction, offspring care, or foraging take place in
186 the context of *opportunity*, whereas the aggressive defense of a terri-
187 tory (or other valuable resource) or offspring can be considered
188 behavior patterns that occur in response to a *challenge* (Fig. 2).
189 Such a grouping of diverse behavior patterns allows us to reduce
190 the taxonomic diversity to basic functional contexts and already
191 hints at the intriguing possibility that the neural and molecular
192 networks underlying challenge and opportunity behaviors evolved
193 from genomic, endocrine and neural processes that may at least in
194 part be conserved.

195 Behavioral responses to challenges have been documented in all
196 the diverse taxa studied and include the defense of resources (e.g.,
197 shelter, food, mates) and predator avoidance [116,153,182,218,
198 274,305]. In many species, resource defense typically involves
199 aggressive displays. For example, male fruitflies, *Drosophila melano-*
200 *gaster*, will display aggressive behavior in defense of females or ter-
201 ritories [68,117], and variation in this behavior across populations
202 can be explained in part by genetic differences [118] and can be
203 subject to artificial selection [63,112,119]. Edwards and colleagues
204 [71] profiled whole body transcriptomes of high and low aggression
205 strains of *Drosophila* males and females and found a profound tran-
206 scriptional response involving ~10% of the genome between the
207 two lines. Genes whose activity differed significantly are involved
208 in circadian rhythm, learning, courtship, neurotransmitter secre-
209 tion/transport, and response to stress. Interestingly, many of the
210 genes in these categories were down-regulated in the high aggres-
211 sion line compared to the low aggression line. This study also iden-
212 tified several novel genes implicated in aggression, highlighting
213 how functional genomics can complement classical forward genetic
214 screens in traditional genetic model systems.

215 The genomic response to a challenge within a species can also
216 be plastic and vary with season. Male song sparrows, *Melospiza*
217 *melodia*, for example, display territorial defense in the form of
218 vocalizations. The type of song reflects the level of aggression
219 and can be used as a predictor for whether the social interaction
220 will result in an attack or flee [251]. A recent transcriptome analy-
221 sis not only revealed that a subset of genes is differentially regu-
222 lated between individuals encountering an intruder compared to
223 non-social controls, but that these gene sets respond differently
224 to social stimuli according to season [189]. This study indicated
225 that the animals have a genomic response to a social challenge,
226 and that the genomic response can vary with environmental input.

227 Gene modules that regulate response to social challenges can be
228 influenced by both environment and evolutionary history. An
229 elegant microarray study by Alaux and colleagues [2] in honeybees
230 (*Apis mellifera*) showed that the same genes that are constitutively
231 up-regulated in an aggressive strain, the Africanized bees, com-



232 **Fig. 2.** Challenge and opportunity: a functional framework. Behavioral responses to
233 challenge and opportunities in the social environment are equivalent across
234 animals, although the specific behavioral response may be divergent across lineages
235 due to life history, ecology, and/or evolutionary history.

236 compared to the more docile European bees are the same genes that
237 are up-regulated when European bees are presented with alarm
238 pheromone, a challenge that triggers aggressive responses in the
239 defense of the colony. Interestingly, the activity of these genes
240 was also increased in older bees compared to younger bees, in line
241 with the observation that aggressive behavior increases as these
242 animals age and assume defense-related tasks.

243 Another genomic model system of aggression in the context of
244 **male-male** competition is the cichlid fish, *Astatotilapia burtoni*
245 [102,306]. Dominant males are highly aggressive and defend terri-
246 tories where they court and spawn with females, whereas subordi-
247 nate males are reproductively suppressed and school with females.
248 Importantly, these behavioral phenotypes are plastic and subordi-
249 nate males will challenge dominant males for access to resources.
250 Microarray analysis revealed that dominant males express higher
251 levels of some neuroendocrine-associated genes, like vasotocin
and prolactin, as well as structural proteins, like actin and tubulin,
compared to subordinate males [224]. These studies have given
important insights into the genomic regulation of social domi-
nance behavior in a community context.

252 In nature, challenge and opportunity rarely present themselves
 253 in isolation, which is an important factor in designing comparative
 254 experiments in this context. Recent studies in *A. burtoni* highlight
 255 the complex relationship between these functional contexts. For
 256 example, subordinate males with an opportunity to ascend in social
 257 status (and thus to obtain a territory for mate attraction) display
 258 aggressive behavior towards potential territorial challengers
 259 within minutes of being provided with a vacant shelter, followed
 260 closely by an increase in sex steroid hormones. There is a rapid
 261 genomic response to social ascent as expression of the immediate
 262 early gene *egr-1* in preoptic GnRH neurons is induced, as well as
 263 sex steroid receptors and steroidogenic acute regulatory (StAR)
 264 protein, which regulates androgen production, in the testes
 265 [32,128,174,175].

266 Behavioral responses to opportunities are often studied in the
 267 context of female **mate choice** and male courtship, although behav-
 268 ioral patterns associated with foraging and habitat selection have
 269 been studied in detail as well [265,268]. It is well established that
 270 sensory cues influence mate choice [37,235], but only recently has
 271 a combination of genomic and candidate gene approaches, coupled
 272 with hormonal and behavioral measures, begun to illuminate the
 273 molecular substrates of mate choice in the female brain. In female
 274 swordtails, *Xiphophorus nigrensis*, the presence of an attractive
 275 male stimulus elicits a remarkably fast (within 30 min) genomic
 276 response in 306 (8.9%) of the 3422 genes examined in a study by
 277 Cummings et al. [52]. Importantly, these authors found that 77 of
 278 these genes were associated with mate choice conditions (i.e.,
 279 whether the female was allowed to choose between an attractive
 280 and non-attractive male, or whether she was exposed to two
 281 non-attractive males), and that the majority of these genes were
 282 down-regulated compared to the other social conditions. Also,
 283 the gene expression patterns in females exposed to mate choice
 284 conditions were almost exactly inverse to those exposed to other
 285 females: Genes that were down-regulated in females in the mate
 286 choice treatment were up-regulated in the female social control
 287 and vice versa.

288 The finding that the vast majority of genes associated with mate
 289 choice were down-regulated compared to the other social condi-
 290 tions is consistent with the classic notion that the execution of
 291 behavior is tightly controlled by central inhibitory mechanisms
 292 [232]. Cummings et al. [52] thus suggested that down-regulation
 293 of a suite of genes (i.e., suppression of activity at the molecular level)
 294 might result in the release of this (physiological) central inhibition
 295 of neural circuits that govern female mate choice. It would be
 296 fruitful to investigate the genomic responses in the context of
 297 female mate choice in other species to better understand the
 298 underlying genomic mechanisms and how they relate to the phys-
 299 iology of brain circuits. Anurans provide a tractable model system
 300 for this fundamental question in biology, and recent studies in the
 301 túngara frog, *Physalaemus pustulosus*, by Hoke and co-workers in
 302 the context of phonotaxis have examined immediate early gene
 303 induction in response to a mate choice stimulus as a proxy for neural
 304 activation [122–124]. These studies have begun to delineate the
 305 brain networks involved in assessing – and responding to – male
 306 call patterns and established an important foundation for under-
 307 standing where in the brain mating decisions are made [125].

308 The act of courtship and mating also elicits a genomic response
 309 in female *Drosophila*. Following a courtship ritual that relies on
 310 multiple sensory cues from both sexes [103], genomic profiling
 311 was done for the whole animal [164]. Females that were courted
 312 but did not mate showed differential gene expression compared
 313 to females that were not courted, and females that were courted
 314 and mated had an additional gene set that was differentially regu-
 315 lated compared to females that had been courted but did not mate.
 316 This work suggests that the integration of sensory cues from the
 317 courtship experience influences a female's transcriptome in

addition to the actual mating event. It remains to be seen which
 transcriptional changes were elicited in the brains of courted and
 mated females, as whole-organism profiling likely masks brain-
 specific gene regulation.

Insights into the neural basis of opportunistic foraging behavior
 have come from genomic studies in insects. Honeybees (*Apis melli-
 fera*) have a distinct behavioral transition from hive-bound duties
 during the first couple weeks of life to pollen foragers. This distinct
 behavioral transition is associated with striking changes in the
 brain transcriptome on the order of thousands of genes [296], pre-
 dominantly in transcription factors [106] and genes associated
 with metabolic processes [4]. Some of the genes involved in these
 processes show a conserved mechanism across insects. For exam-
 ple, the gene *foraging* (or *for*) is higher in forager bees than in hive
 bees [20], and is also increased in fruitflies expressing the rover
 (actively foraging) phenotype compared to the sitter phenotype (*D.
 melanogaster*; [260]). A recent study by Toth and colleagues
 [282] that used transcriptome analysis to compare brains of paper
 wasps (*Polistes metricus*) and honey bees suggests that gene
 expression associated with foraging behavior is highly conserved
 in social insects, while the activity of genes associated with repro-
 ductive behavior is more variable, possibly due to the major differ-
 ences in the mating system of these two species.

The challenge and opportunity framework allows the develop-
 ment of behavioral paradigms that are applicable across many spe-
 cies. We have presented evidence that genomic responses to
 opportunities, such as foraging in insects, are similar across spe-
 cies, supporting the notion of conserved gene sets regulating func-
 tionally equivalent behavioral responses. More generally, our
 framework predicts that there is significant overlap between gene
 sets regulating foraging and those regulating mating behavior
 within and/or across species. However, variation in genomic re-
 sponses, such as those found in the context of reproduction in in-
 sects, are also informative as they provide insight into how unique
 behavior patterns may have evolved in a lineage-specific manner,
 e.g., in response to unique selection regimes. Importantly, variation
 in genomic responses to functionally equivalent social stimuli can
 also reveal species differences in the relative contribution of differ-
 ent sensory modalities in association with a conspecific versus a
 food source.

Within this framework of challenge and opportunity, we can
 now begin to ask to which extent the molecular substrates under-
 lying these behavioral responses might be conserved across diverse
 species [230]. However, few such analyses have been attempted
 thus far across relatively closely related species [169,259]. This is,
 of course, at least in part due to the still small number of genomic
 analyses of behavior, partially due to the limited genomic tools
 available for some species. However, a more fundamental limita-
 tion lies in the multitude of divergent behavioral measures
 researchers have developed to assess behavioral responses in di-
 verse species [214]. We therefore need to consider whether there
 is a common “currency” for behavioral measures that can facilitate
 comparative analyses.

4. Approach and avoidance

In order to ask questions about the causation or development of
 behavior, there must first be fundamental behavioral measures
 that are principally valid across most species. Behavioral displays
 exhibited in the context of challenge and opportunity can usually
 be classified as either *approach* towards, an *avoidance* of
 (*withdrawal* from), or a passive response to a relevant stimulus.
 From the viewpoint of proximate mechanisms, this concept pro-
 vides us with a heuristic framework for comparative studies aimed
 at development or causation of behavior across even diverse
 species [217,244,276]. Using the approach/avoidance scheme

(including passive responses) in relation to environmental or social stimuli (summarized by [244]; see also [181,188]), we can operationally define shared behavioral categories that are independent of the specific sensory modalities or idiosyncratic motor patterns, which may characterize species-typical behaviors in each taxon (Fig. 3).

The decision to either approach or avoid a stimulus naturally has implications for the survival and reproduction of an individual. In noxious situations it may be most advantageous to withdraw, while an approach response is most appropriate to a mate or food resource. It is important to note that the appropriate response of an individual is dependent on prior experience, condition of the individual, and brain gene expression, and specializations in these mechanisms may have appeared through natural selection of traits that favor approach or avoidance in different situations [244]. Furthermore, this framework allows a quantification of behavior in relation to physiological and molecular measures, such as hormone levels or gene expression, to determine how these variables may covary across species.

There is a rich literature in behavioral ecology examining approach and avoidance responses, often in the context of foraging [reviewed by 267] and predator avoidance (reviewed by [65,104]). Propensity to approach or avoid a particular stimulus has a genetic basis that suggests there is within and between species variation in these adaptive responses [27,163,292]. These behaviors are comparable across taxa and have been documented in invertebrates and vertebrates in response to attractive or noxious stimuli [74,139,243,267,279].

Functional studies investigating the genetics underlying approach/avoidance behaviors have mostly focused on odor-guided behavior, and studies exploiting other sensory modalities are needed. In many species, olfactory information provides salient information about species identity, sex, social status, and/or reproductive condition [28,107,140,143,309]. Anholt [6] and colleagues identified several genes involved in the odor-avoidance response in *Drosophila* using mutant lines that failed to respond to a noxious odor. Loci disrupted in these mutants include ion channels and genes implicated in odor recognition or postsynaptic organization. Mutant mice that have deletions of neuropeptide or steroid hormone receptor genes also have disrupted olfactory recognition [reviewed in 143]. These knockout strains can recognize predator (cat) odors but fail to recognize parasitized conspecifics [143,144].

Social networks can also influence an individual's response of approach or withdrawal to a stimulus. Work in guppies (*Poecilia reticulata*) has shown that behavior of individuals within the shoal can influence both foraging behavior and avoidance of noxious

stimuli. In guppies, individuals will prefer the routes established by shoal founders either during foraging or while escaping predators, which suggests that social information facilitates decisions about movement in the local environment [29,161]. Social approach behavior, such as female mate choice, can also be influenced by group dynamics, as mate choice copying has been documented in every vertebrate lineage [86,148,160,221]. However, this transmission of approach or avoidance decisions through social groups can sometimes be maladaptive and prevent the adoption of optimal behaviors. For example, Laland and Williams [162] trained founder guppies to prefer a longer (more costly) route to a food source over a shorter route (less costly). Other guppies adapted this behavior and this maladaptive preference persisted even after the founder guppies were removed. Guppy avoidance behavior has a genetic component as animals from high or low predation populations will differentially respond to predator-induced alarm pheromones [129]. To date, no genomic analyses have been carried out in the context of social networks, though it would be interesting to determine the molecular correlates of information transmission in social groups.

In summary, while the challenge/opportunity framework provides equivalent social contexts in which to conduct experiments, the approach/avoidance framework makes possible quantification of behavior in ways that transcends species-specific conditions and sensorimotor processes, and thus facilitates the comparison of behavioral mechanisms across diverse taxa. For instance, approach/avoidance measures have a rich history in opportunity behaviors such as foraging [267] and mate choice [235], in strong support of the notion that "molecular universals" hypothesis can in fact be adequately tested. It is, however, important to note that the (proximate) approach/avoidance framework is most useful only when applied within carefully chosen (ultimate) challenge/opportunity contexts of adaptive relevance.

The behavioral responses of approach and avoidance must have neural origins that are specific to both defined brain regions and neurochemicals used to process the relevant information. Many mechanistic studies have highlighted the fundamental role that hormones and catecholamines play in regulating these behaviors. Studying the shared behavioral mechanisms underlying social challenges and opportunities at the behavioral level will allow us to fairly compare social decisions across vertebrates.

5. Hormones and monoamines

Studying behavior in the context of neurochemicals allows us to uncover its physiological and developmental basis [15,206,301].

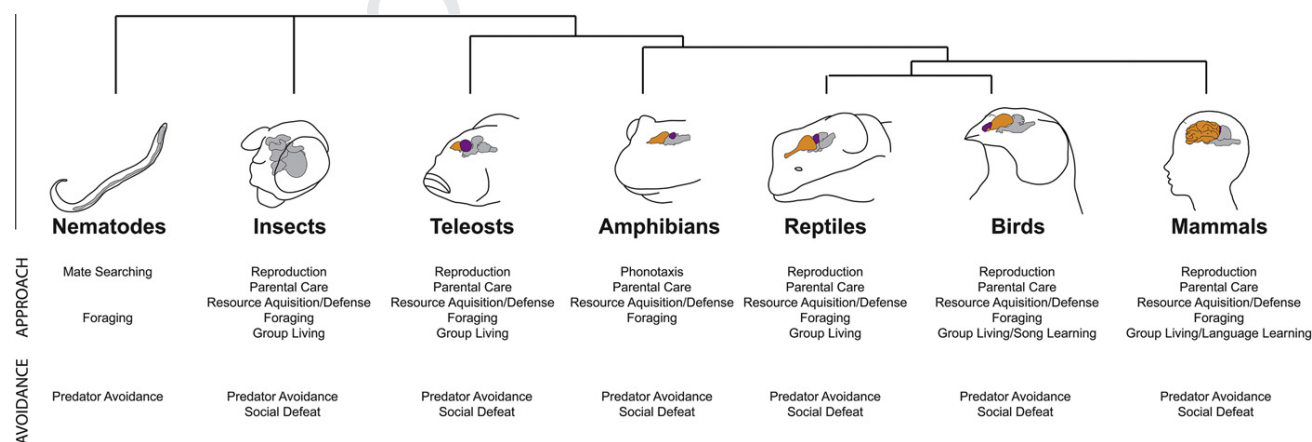


Fig. 3. Approach and avoidance: a mechanistic framework. Quantitative measures of behavioral responses to challenges and opportunities that are tractable in all species provide an important foundation for analyzing the molecular and neural basis of social behavior and its evolution. Brains are shaded differently by forebrain and midbrain.

472 Given this, there may be universal codes underlying the evolution
473 of behavioral mechanisms similar to the homeotic pathways that
474 have become fundamental to our understanding of the evolution
475 of developmental mechanisms. The crucial role of dopaminergic
476 (and other aminergic) cells in encoding the salience (or rewarding
477 properties) of a (social) stimulus appears conserved in all animals
478 studied thus far [11,58,111,158,226,286,308]. For example, studies
479 on the salience-encoding properties of the dopaminergic system in
480 worms, insects, and vertebrates provide a framework for under-
481 standing drug addiction in humans [19,78,193,196,242]. More
482 generally, the modulatory role of various neuroendocrine and neu-
483 rotransmitter systems (neuropeptides, steroid hormones, biogenic
484 amines) in social behavior is conserved across species, even though
485 the specific manifestations of the behavior can vary greatly across
486 species and/or conditions [1,21,283,308]. These patterns have also
487 been investigated in human social cognition and attachment [79].
488 Above, we briefly addressed the involvement of catecholamines
489 and hormones in approach/avoidance behavior from the perspec-
490 tive of behavioral ecology, and we will now discuss in greater
491 detail the role of these neurochemicals in modulating behavior.

492 *5.1. Hormonal modulation of approach and avoidance*

493 The hormonal basis of behavior has been studied for decades by
494 behavioral neuroendocrinologists, who have made great advances
495 in understanding how the complex interactions of the brain and
496 physiology result in meaningful behavioral responses. A classic
497 example of this is the “challenge hypothesis”, which predicts how
498 androgen levels and dynamics relate to social behavior across di-
499 verse social systems and environments [302]. This powerful frame-
500 work, originally developed for androgen responses in birds [302],
501 has been expanded to other hormones (glucocorticoids, progester-
502 one, juvenile hormone, etc.) and other animal taxa including mam-
503 mals [57,205], reptiles [137,149], amphibians [33], teleost fish
504 [60,115,128,203], and more recently to invertebrates [151,249,
505 277]. However, some species appear to lack androgen responses to
506 social challenges [185,250,290], possibly due to differences in
507 ecology or mating system (see also the meta-analysis by [115]).
508 Although endocrine responses to challenges, such as male–male
509 interactions, have been studied in detail, hormonal changes in
510 response to social opportunities have received less attention. A small
511 number of studies in a range of taxa have clearly established that
512 similar processes can occur in opportunity contexts when males
513 are exposed to females (birds: [184,186,201]; mammals: [5]; fish:
514 [263]). More comparative investigations into the challenge hypothe-
515 sis in species with diverse life histories and mating systems will
516 yield a better understanding of the evolution of hormonal responses
517 to social challenges and opportunities.

518 Both sex steroids and neuropeptide hormones have been impli-
519 cated in modulating all facets of social behavior including aggres-
520 sion [81,92,261,283], sexual behavior [10,131], parental care
521 [61,166,190], and sociality [41,67,95]. Sex steroid hormones can af-
522 fect neural circuits and behavior via long-lasting genomic mecha-
523 nisms that involve changes in gene expression [204,287] as well
524 as through rapid effects mediated by signal transduction cascades
525 [171,177,223]. Neuropeptides, in contrast, exert their actions
526 exclusively through signal transduction cascades [114,209].
527 Herbert [113] proposed the notion of a neurochemical code to
528 describe the spatial and temporal dynamics of neuropeptide regu-
529 lation in the brain. In this framework, one or more neuropeptides
530 or steroid hormones act both independently and in concert to regu-
531 late complex behavioral outputs. These actions may be directed at
532 a single target or involve multiple regions within a circuit, creating
533 a “chemical coding system” that organizes adaptive behavioral
534 responses to environmental (including social) challenges and
535 opportunities. Herbert [113] already suggested that other neuro-

chemicals, such as biogenic amines and steroid hormones, should
be included in this model, as all these compounds can act on
approach and avoidance behaviors [12,82,130,176,172,183,223].

In vertebrates, the decision to approach or avoid a stimulus can
be modulated by neuropeptides, and these experiments are usually
in the context of social stimuli rather than foraging (reviewed in
[98]). For example, in male goldfish (*Carassius auratus*), social ap-
proach is modulated by arginine vasotocin (AVT), the non-mam-
malian homolog of arginine vasopressin (AVP), in that this
nonapeptide inhibits social approach to another male, whereas
an AVT receptor antagonist increases social approach in low-
responding social fish [276]. Furthermore, injections of another
nonapeptide, isotocin (the teleost homolog of mammalian oxyto-
cin), also increases social approach in low-responding fish, show-
ing that AVT and isotocin have opposite effects on male–male
sociality in goldfish. Interestingly, a number of studies in other tel-
eosts demonstrated that AVT treatment can stimulate courtship
displays towards females [14,240,252], possibly suggesting oppos-
ing effects of this neuropeptide depending on the sex of the stim-
ulus animal. These findings underscore the importance of studying
neuropeptide modulation of approach/avoidance behavior in both
challenge and opportunity contexts for a given species.

Although the modulation of neuropeptide regulation of ap-
proach avoidance may vary with social context, there is also vari-
ation in neuropeptide response between species (reviewed in
[98]). Detailed insights into the molecular and genetic mechanisms
of neuropeptide regulation of social approach and avoidance have
mostly come from comparative studies of *Microtus* voles, the
monogamous prairie vole, *Microtus ochrogaster*, and the polyga-
mous montane vole, *Microtus montanus* (reviewed in [312]). In-
fusions of an oxytocin receptor antagonist into a female prairie
vole before mating will block pair bond formation [132] while oxy-
tocin infusions will enhance bond formation even in the absence of
mating [300]. In males, injection of an AVP receptor antagonist de-
creased partner preference and AVP infusions facilitate partner
preference, even in the absence of mating [303]. It is the geneti-
cally regulated spatial variation in AVP receptor and oxytocin
receptor expression throughout the brain that facilitates the
formation of pair bonds in prairie but not montane voles. This
literature has been extensively reviewed elsewhere [24,133,167,
210,312], although it should be noted that genome-scale studies
are lacking thus far.

Lipid hormones can also influence approach behavior, although
this relationship has been studied much less compared with the
role of nonapeptides. One of the better known players is prosta-
glandin $F2\alpha$ ($PGF2\alpha$), which in teleost fishes acts as an endogenous
releaser of reproductive behavior in females and as an exogenous
releaser in males. Specifically, $PGF2\alpha$, which is released from ovar-
ian tissues during final egg maturation, elicits the full repertoire of
female reproductive behaviors even in non-gravid females treated
with this hormone [44,254,262,289]. Additionally, gravid females
release PGF into the surrounding water, where it acts as a phero-
mone to elicit courtship behavior in males [262]. Because most
teleost fishes are broadcast spawners that require close coordina-
tion between males and females during spawning to ensure
fertilization of the eggs, these actions of $PGF2\alpha$ are important for
the synchronization of required approach behaviors of males and
females during reproduction.

5.2. Regulation of approach and avoidance behavior by monoamines

The mechanistic analysis of approach and avoidance behaviors
across a diverse set of species has clearly shown that, in addition
to neuroendocrine modulators, the biogenic monoamines dopa-
mine (DA) and serotonin (5-hydroxytryptamine, 5-HT) play a fun-
damental role. Specifically, 5-HT modulates escape (avoidance)

600 behavior in many animals (mammals: [280]; teleosts: [101,295];
601 crayfish: [72,91,310]; sea slug: [138]). In vertebrates, 5HT is better
602 known for its role in impulsivity and aggression [47,70,126,216].

603 Ever increasing evidence from diverse organisms ranging from
604 worms to insects to vertebrates suggests that the evaluation of stimu-
605 lus salience is regulated by catecholamines, particularly DA
606 [7,22,158,197,264]. DA is an evolutionarily ancient biogenic amine
607 that is found in most eukaryotes, where it is synthesized (along with
608 norepinephrine and epinephrine, or octopamine in invertebrates)
609 from tyrosine [36,159,304]. In many animals, DA plays an essential
610 role as a neuromodulator in many behavioral processes, such as
611 selection of motor programs, pair bonding, aggression, sexual
612 behavior, and learning and memory [56,131,147,152,180,236,313].

613 Evidence for the role of monoamines in decision-making comes
614 from extensive work on locomotion in the leech *Hirudo medicinalis*
615 and the nematode *Caenorhabditis elegans*. Behavioral switching be-
616 tween two different locomotor patterns constitutes an important
617 behavioral approach/avoidance choice that is critical for survival
618 of these animals, and monoamines play an important role in this
619 locomotor choice ([156,212,266, reviewed in [180]). DA not only
620 activates crawling behavior in leeches, but also inhibits swimming
621 behavior [51], which may be important for switching from search-
622 ing to feeding behavior after finding a food source, whereas seroto-
623 nin facilitates swimming behavior [87]. Similarly, DA also plays a
624 role in nematode locomotion, as dopamine facilitates a behavioral
625 switch from crawling to swimming [180]. 5-HT has also been
626 implicated in promoting mate-searching behavior in male *C. ele-*
627 *gans* [168], supporting a conserved role for monoamines in ap-
628 proach and avoidance behavior across large evolutionary distances.

629 It is becoming increasingly clear from work in insects that the
630 role of DA in motivation is conserved beyond vertebrates. In *Dros-*
631 *ophila*, for instance, DA action in the mushroom bodies (an assoc-
632 iation center of the insect brain) influences the decision to fly based
633 on how the salience of visual cues is evaluated [314]. Pharmacolog-
634 ical manipulation of DA receptors in both crickets and *Drosophila*
635 also supports a role for this amine in encoding positive or negative
636 valence when exposed to particular stimuli [152,286,291].

637 The complex social organization of honeybees has fascinated
638 naturalists for centuries, and it is thus exciting that the molecular
639 regulation of colony behavior is now becoming unraveled, as we al-
640 ready discussed the insights obtained from genomic analyses of
641 honeybee behavior (see Section 3). In addition, several recent stud-
642 ies have provided evidence that catecholamines regulate behav-
643 ioral motivation in this species as well. Beggs and co-workers
644 have shown that pheromones released by the queen bee modulate
645 behavioral circuits in workers by lowering DA levels, which in turn
646 may serve to facilitate colony chores [17,18].

647 In vertebrates, DA plays a fundamental role in encoding the
648 rewarding properties of a stimulus, or its valence [22,245–247].
649 In rodents, two model systems have jumpstarted our understand-
650 ing of dopaminergic regulation of social behavior. First, work by
651 Hull and colleagues in male rats, *Rattus norvegicus*, has elucidated
652 the reinforcing properties of sexual experience, as DA is released
653 into the preoptic area after sex (reviewed in [66,130]). Second,
654 DA also reinforces pair bond formation in the monogamous prairie
655 vole [53]. Given these important insights, it is thus not surprising
656 that research into the role of DA in natural behaviors is now
657 expanding to other vertebrates. In male songbirds, for example,
658 DA plays an important role not only in song learning, but also in
659 regulating context-appropriate song production in both challenge
660 and opportunity contexts (reviewed in [158]). In reptiles, a few
661 studies have implicated DA in reinforcing social behaviors: In male
662 whiptail lizards, *Cnemidophorus inorantus*, sexual vigor is associ-
663 ated with the expression of tyrosine hydroxylase (TH; the enzyme
664 that catalyzes the rate limiting step in catecholamine synthesis; of-
665 ten used as a marker of DA neurons) in the preoptic area [307]. In

666 male leopard geckos, *Eublepharis macularius*, an opportunity to ap-
667 proach a female elicits a DA surge in the nucleus accumbens [62],
668 suggesting that dopamine also plays a role in motivation or
669 anticipation in reptiles. Unfortunately, at this point there is little
670 evidence from amphibians or teleosts regarding the role of dopa-
671 minergic modulation of social behavior, but we predict that this
672 will quickly become an avenue of interesting research that will
673 lead to greater insights into the evolution of dopaminergic regula-
674 tion of behavior in early vertebrates.

675 5.3. Importance of resolved molecular homologies

676 The study of the neurochemical and hormonal influences on
677 behavior warrants a discussion of variation in the processing of
678 neurochemical and molecular signals across vertebrates. Due to
679 gene (or genome) duplication or gene loss and genetic divergence,
680 a comparison of the gene products involved in these cascades of
681 signal processing should be based on four criteria: (i) binding
682 affinity of the receptor for the ligand; (ii) sequence similarity of
683 the gene product; (iii) its tissue-specific expression patterns in
684 the brain; and (iv) the nature of the signaling pathway. Not sur-
685 prisingly, we find variation in all these variables, between verte-
686 brates and invertebrates and, to a lesser extent, across vertebrate
687 lineages as well, which offers an exciting opportunity to examine
688 how this variation is related to life history, social system, and
689 ecology of diverse species and their evolution. Although compar-
690 ative studies looking specifically at the molecular evolution of
691 neuroendocrine mechanisms regulating social behavior are lack-
692 ing, a stimulating genome-scale study by McGary et al. [178]
693 identified numerous protein–interaction networks that are highly
694 conserved (orthologous) across eukaryotes (humans, mice, plants,
695 worms, and yeast), even though the phenotypes they help gener-
696 ate may be diverged. The authors conclude that functional analy-
697 ses of these orthologous protein networks (which they termed
698 “phenologs”) in one model system can thus provide important in-
699 sights into the molecular underpinnings of seemingly unrelated
700 phenotypic traits in other species [178]. We suggest that similar
701 analyses should be conducted in the context of the neurochemical
702 and molecular processes regulating social behavior. This would
703 not only increase our understanding of the underlying neural
704 and gene networks, but also allow us to determine whether for
705 a given behavioral response these processes might indeed be con-
706 served across organisms. For example ancient gene (or protein)
707 networks may operate in diverse species in a multitude of behav-
708 ioral contexts.

709 The neurochemical code, as proposed by Herbert [113], can only
710 be understood within the context of complex spatial and temporal
711 activation patterns in networks of dedicated brain nuclei. We
712 therefore need to discuss the neural circuits that govern, for in-
713 stance, reward processing and social behavior [58,195] within
714 the integrative framework we are proposing in this review.

715 6. Neural circuits governing reward processing and social 716 behavior

717 In most animals, coordinated neural circuits facilitate informa-
718 tion processing into adaptive behavioral decisions. By studying
719 behavior patterns within the context of neural circuits (rather than
720 a single neuron or brain region), we can begin to understand the
721 neural processing and integration of external environmental cues
722 and internal physiological cues to produce the appropriate behav-
723 ioral output. The study of relatively simple motor patterns and
724 their underlying neural circuitry, like the Mauthner-cell mediated
725 escape response in teleost fishes [75] or central pattern generators
726 [142,173], provides an opportunity to understand how neural cir-
727 cuits act in concert to generate simple behaviors, and how much

728 the circuit can vary from animal to animal while still maintaining
729 function [102]. Similarly, orientating responses, such as phonotaxis
730 in crickets and anurans [90,100,146], chemosensing in bacteria
731 [208], and active sensing in bats and electric fish [191,222] have gi-
732 ven us molecular or neural insights into how animals process infor-
733 mation in the environment using highly specialized sensory
734 mechanisms.

735 As far as the regulation of social decision-making in vertebrates
736 is concerned, two neural circuits seem to be fundamental (Fig. 4):
737 the mesolimbic reward system, with a central role for the connec-
738 tion between the dopaminergic ventral tegmental area (VTA) and
739 the nucleus accumbens [58,297]; and the **social behavior network**
740 [195], a collection of midbrain, hypothalamic and basal forebrain
741 nuclei sensitive to sex steroid hormones and involved in sexual
742 [13,110,123], aggressive [59,89,192], and parental behaviors
743 [85,234]. Insights from birds and mammals have shown that re-
744 gions involved in both the mesolimbic reward system and social
745 behavior network are important in regulating naturally rewarding
746 behaviors, such as sex [88,130,207], winning a fight [89], parental
747 care [42], pair bonding in monogamous rodent species [313] and
748 bird song and sociality [94,97,111,229]. Here we briefly discuss
749 the progress made in understanding these circuits in vertebrates,
750 although the studies published thus far typically focus on manipu-
751 lating only one or two brain regions within a circuit. As deep
752 sequencing technologies become less costly, it is our expectation
753 that it will become feasible to profile the transcriptomes of several
754 brain regions within a single individual to better understand how
755 shifts in network gene expression influence behavior.

6.1. The mesolimbic reward system

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Animals must assess the relative value and consequence of an external stimulus in order to generate an adaptive response. Many studies indicate that the mesolimbic reward system (including but not limited to the midbrain dopaminergic system) is the neural network where the saliency of such stimuli is evaluated [58,297]. This circuit is characterized by massive dopaminergic projections from the VTA to the nucleus accumbens. Most depictions of the reward system also include the lateral septum, ventral pallidum, striatum, basolateral amygdala, the bed nucleus of the stria terminalis, and the hippocampus. Due to its biomedical relevance in the context of addiction and depression, it is not surprising that the mesolimbic DA system is best studied in mammals [150,170,275]. These well-studied addiction disorders are deleterious manipulations of a network that encodes the potential value and positive reinforcement effects of behavior [54,55,198].

As the functional contexts in which animals behave (i.e., **male-male** aggression, mate choice, foraging, etc.) are functionally equivalent across diverse species, it is reasonable to hypothesize that the reinforcing role of the mesolimbic dopamine system is conserved across vertebrates. Although no functional genomics studies have examined the reward system in non-traditional model systems with complex social behaviors, many studies have implicated the VTA in evaluating the saliency of a stimulus, as well as reinforcing the production of rewarding naturalistic behaviors. Perhaps the best known example for involvement of the mesolimbic reward system in reinforcing naturalistic behaviors comes from the *Microtus voles* and **pair bonding** (reviewed in [311,313]). The strength of this system lies in the comparative work between two mating systems, and should encourage comparative studies for other social systems in order to increase our understanding not only of the molecular mechanisms underlying complex behaviors but also how these complex behaviors have evolved. As more genomic resources become available, we will be able to better understand not only the transcriptome changes associated with **pair bonding**, but also parental care and aggression [179].

The role of the mesolimbic reward system is particularly apparent in song production in many species of songbirds [64]; for a recent review see [158]. VTA neurons are more active during courtship singing than during undirected (non-courtship) singing [108,127]. Immunoreactivity for TH in the VTA is also context-dependent, as higher immunoreactivity is associated with courtship calls and not with undirected calls [111]. Further, ablation of dopaminergic neurons in the VTA results in a deficit in female-directed song, but not undirected song [108]. Given the evidence that the reward system plays a role in male song production in specific social contexts, it is surprising that no work has been done thus far in female birds exposed to attractive calls compared to undirected calls, but we predict that this will be a fruitful avenue of research.

Insights into the contribution of the VTA to reproductive decision-making in females has come from studies on anuran mate choice, in which females display phonotaxis (approach) behavior to attractive calls [235]. Lesions of dopaminergic neurons in the putative VTA homolog of the anuran brain disrupt female phonotaxis behavior such that its expression is correlated with the number of TH-neurons remaining in this region [73]. Studies that quantified induction of the immediate early gene *egr-1* as a marker for neuronal activation have also strengthened our knowledge of VTA-like neurons mediating mate choice in anurans. Specifically, female túngara frogs exposed to male conspecific calls exhibited strong induction of *egr-1* in the VTA [123], suggesting that a cellular response in this region contributes to female decision-making in anurans.

Research in female rodents has further expanded our understanding of the cellular and genomic processes involved in

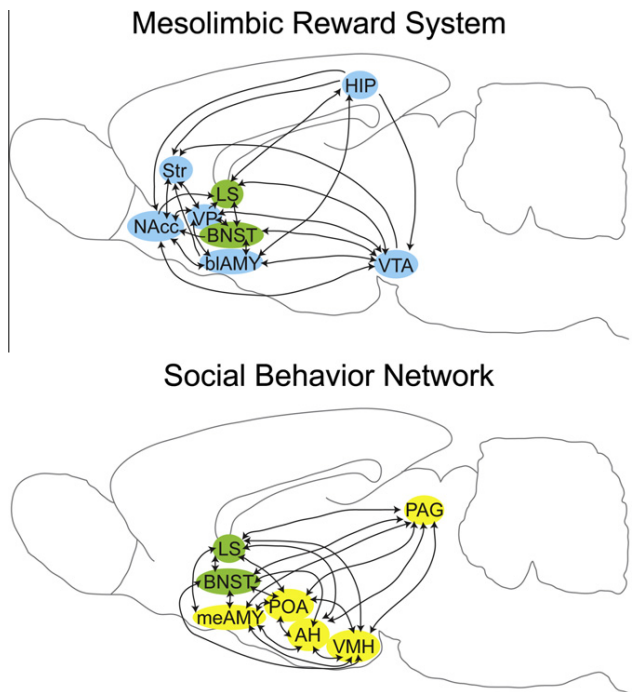


Fig. 4. A neural circuit framework. schematic representations of a mammalian brain are shown with brain regions of the mesolimbic reward system (blue; top panel) and social behavior network (yellow; bottom panel). Regions shared by both circuits are labeled in green. Adapted from O'Connell and Hofmann, submitted. Arrows indicated directionality of functional connections between brain regions. Abbreviations: AH: anterior hypothalamus; bAMY: basolateral amygdala; BNST: bed nucleus of the stria terminalis; HIP: hippocampus; LS: lateral septum; meAMY: medial amygdala; NAcc: nucleus accumbens; PAG/CG: periaqueductal gray/central gray; POA: preoptic area; STR: Striatum; VMH: ventromedial hypothalamus; VP: ventral pallidum; VTA: ventral tegmental area. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

821 mediating the rewarding neural response to sexual behavior in
 822 multiple forebrain regions. Female sexual experience produces
 823 changes in neuronal activity within the nucleus accumbens and
 824 dorsal striatum [16,26,136], similar to drug use [34,211]. Using
 825 transcriptome analysis, Bradley and colleagues [25] found that
 826 prior sexual experience in female hamsters altered distinct gene
 827 sets within the nucleus accumbens and dorsal striatum including
 828 ion channels, transcription factors, neurotransmitter receptors,
 829 and genes involved in signal transduction. Sexual experience was
 830 administered by placing a male in the female's home cage once a
 831 week for six weeks. Experienced females that had sex on the final
 832 test day had a dramatic genomic response, with increased expres-
 833 sion of many genes in these basal ganglia regions compared to sex-
 834 ually naive animals that had sex on the final test day. In contrast,
 835 sexually experienced females that did not have sex on the final test
 836 day showed a dramatic decrease in gene expression compared to
 837 sexually naive females that also did not have sex on the final test
 838 day. This study not only demonstrated that in the reward system
 839 certain gene sets are regulated by sexual experience, but that
 840 anticipation of a sexual encounter in sexually experienced females
 841 that were (against expectation) not exposed to a male on the final
 842 test day led to a depression of gene expression in the nucleus
 843 accumbens and dorsal striatum, similar to the anticipation of a
 844 food or drug reward in trained animals [194]. These results under-
 845 score the notion that genomic responses underlying natural behav-
 846 iors are inherently rewarding at the molecular level.

847 An individual's ability to adapt to chronic social stress is also
 848 mediated by the mesolimbic reward system [76]. There is surpris-
 849 ing individual variation in this response even within inbred c57bl/
 850 6 mice, as some individuals will be highly susceptible to social de-
 851 feat by displaying long-lasting social avoidance behavior while
 852 others will be resilient [23]. Transcriptional profiling revealed that
 853 key adaptive changes in the VTA underlie an individual's propen-
 854 sity for reliance or susceptibility to social defeat. Krishnan and col-
 855 leagues [155] showed that there is an adaptive transcriptional
 856 response in resilient mice that results in an up-regulation of potas-
 857 sium channels in the VTA, thus altering the excitability of VTA neu-
 858 rons and an associated release of brain-derived neurotrophic factor
 859 (BDNF) into the nucleus accumbens. These groundbreaking studies
 860 have taught us that there can be dynamic changes in genome activ-
 861 ity even in the absence of a behavioral response (e.g., resilience to
 862 social defeat). In fact, it is the mice that display social avoidance
 863 after social defeat that do not mount a genomic response to chronic
 864 stress. In a similar study, mice with chronic social stress (exposure
 865 to highly aggressive dominant male) down-regulated several genes
 866 in the hippocampus, including many transcription factors and ion
 867 channels as well as some gene products involved in metabolism
 868 and the cell cycle [77]. This body of work also highlights the impor-
 869 tance of profiling the transcriptome in several brain regions in or-
 870 der to better understand how interconnected brain regions
 871 contribute to approach/avoidance behaviors. We predict that, as
 872 genomic technologies become more available, there will be more
 873 transcriptome studies looking at the contribution of the mesolim-
 874 bic reward system to natural social behavior.

875 6.2. The social behavior network

876 Newman [195] presented a useful framework encompassing six
 877 brain regions implicated in the regulation of social behavior in
 878 mammals. The nodes of this "social behavior network" – lateral
 879 septum, extended medial amygdala (i.e., medial amygdala and
 880 bed nucleus of the stria terminalis), preoptic area, anterior hypo-
 881 thalamus, ventromedial hypothalamus, and periaqueductal gray/
 882 central gray – are all reciprocally connected [45,46,227] and ex-
 883 press sex steroid receptors [187,256]. Although originally proposed
 884 for mammals, Crews [48] and Goodson [94] soon applied this

885 framework to other vertebrate lineages. In reptiles, data from leop-
 886 ard geckos suggest that behavioral variation due to egg tempera-
 887 ture incubation is correlated with the functional connectivity
 888 within this network [238,239], whereas studies in the plainfin mid-
 889 shipman fish, *Porichthys notatus*, which displays characteristic
 890 acoustic patterns based on social context and phenotype, have
 891 shown that at least some of these nodes are present in fish and
 892 modulate the vocal-acoustic circuitry [96]. Finally, this network
 893 has also been extended to birds where it plays a role in mediating
 894 sociality across species (reviewed by [94]). Taken together, there
 895 are multiple lines of evidence that this network was already in
 896 place in early vertebrates.

897 Surprisingly, there are no genomic studies within naturally (not
 898 hormonally manipulated) behaving animals investigating the
 899 genomic response of brain regions within the social behavior net-
 900 work to social behavior stimuli, although as sequencing technolo-
 901 gies become cheaper and more readily available to non-traditional
 902 model systems, we predict that this will become an area of intense
 903 research.

904 Already, researchers have begun to analyze the potentially
 905 important effects of epigenetic modifications on brain function
 906 and behavior [145,315]. For example, a recent study by Gregg
 907 et al. [104] used next-gen sequencing to examine the epigenome
 908 in one hypothalamic node of the social behavior network, the
 909 POA, as well as several brain regions regulating motivation, such
 910 as the VTA and the nucleus accumbens. The authors found that
 911 in the adult POA there are significantly more genes expressed from
 912 the paternal, compared with the maternal, genome, although the
 913 behavioral consequences of this parent-of-origin bias in expression
 914 still need to be examined in detail. However, we already know
 915 from studies by Meaney and colleagues [141,294] that experi-
 916 ence-dependent epigenetic reprogramming of single genes, such
 917 as the glucocorticoid receptor in the hippocampus, can result in
 918 significant differences in adult stress reactivity and maternal
 919 behavior of rodents. These studies highlight the growing apprecia-
 920 tion of epigenetic effects that can lead to variation in social behav-
 921 ior [49,50,69,293]. Given the advances in sequencing technology,
 922 this area of research will soon greatly benefit from comparative
 923 analyses.

924 6.3. Importance of resolved brain homologies

925 Understanding the evolution of the neural substrates that
 926 underlie social behaviors across vertebrates ultimately depends
 927 on establishing reliable homology relationships for the brain re-
 928 gions in question [200,272]. Determining homologies across all
 929 major vertebrate lineages has been especially challenging, as brain
 930 architecture is remarkably diverse [271]. However, comparative
 931 neuroanatomists have made great strides towards increasing our
 932 understanding of brain evolution, homology, and neurochemistry
 933 [30,84,198,199,258]. Homologies have been inferred for many of
 934 the fore- and midbrain regions discussed in this review based on
 935 a number of criteria, including topography, hodology, develop-
 936 ment, neurochemical profiles, and functional lesion and stimula-
 937 tion studies. A recent survey by the authors [200] determined
 938 that most of the homologs to the mammalian brain regions that
 939 are part of the mesolimbic reward system and/or the social behav-
 940 ior network can be identified with some confidence across the ma-
 941 jor vertebrate classes, including mammals, birds, reptiles,
 942 amphibians, and teleosts. Yet despite this progress, the information
 943 available covers only a handful of species in each lineage and no
 944 systematic surveys have been conducted to include closely related
 945 species with diverse social systems. Many of the interesting
 946 questions addressing the neural evolution of social behavior are
 947 best addressed in clades that have diverged mating systems or
 948 sociality, such as *Microtus* voles, estrilid finches, and cichlid fishes

949 [99,210,214,273]. These comparative systems have increased our
950 understanding of how small changes in gene expression or brain
951 development can lead to such striking variation in social behavior.

952 **7. The evolution of neuroethological systems**

953 We have reviewed here four conceptual areas that form the
954 foundation for an integrative analysis of the neural and develop-
955 mental mechanisms and evolution of adaptive social behavior, as
956 envisioned almost half a century ago by Tinbergen [278]. Due to
957 the remarkable conceptual advances brought about by behavioral
958 ecologists, neuroethologists, behavioral neuroendocrinologists,
959 comparative neuroanatomists, and developmental biologists, and
960 because of the astonishing technological progress in such diverse
961 areas as neurochemistry, molecular biology, and genomics, we
962 are finally in a position where we can fulfill Tinbergen's vision.

963 We have presented here evidence that all animals have similar
964 behavior responses to challenges and opportunities in their envi-
965 ronment. There is a striking genomic response to these situations
966 and similar molecules (monoamines and neuroendocrine chemi-
967 cals) play a role in evaluating the environment and modulating
968 the behavioral output. These observations raise some fundamental
969 questions about the evolution of behavior: Were any conserved
970 molecular processes underlying these behavioral responses
971 assembled from a "genetic toolbox", such that orthologous build-
972 ing blocks are repeatedly recruited independently in various
973 lineages, as appears to have been the case with PAX6 in eye devel-
974 opment? Or are these processes the product of an evolutionary an-
975 cient system to respond to challenges and opportunities an
976 individual encounters by utilizing a conserved mechanism? It
977 may well be that the answer will depend on the phylogenetic level
978 of analysis, such as whether one analyzes species within a specific
979 monophyletic clade or across all vertebrates. Recent insights into
980 the evolutionary origins and biochemical mechanisms of biolumi-
981 nescence are illuminating in this context [298]. Luminescent
982 behavior appears to have evolved independently at least 40 times,
983 yet the process often involves similar enzymes and substrates in
984 light-producing reactions, possibly because, as species began to
985 conquer deeper waters, a reduction in light-induced oxidative
986 stress shifted the selection pressure from the antioxidant to the

chemiluminescent properties of the substrate molecule [298].
There might thus indeed be evolutionary mechanisms that result
in the convergent recruitment of ancient and conserved molecular
pathways, which, for instance, underlie the approach of mates or
avoidance of predators.

Research in yeast suggests that responses to challenges and
opportunities could indeed be governed by ancient molecular mecha-
nisms. Stern and colleagues [269] presented yeast with a severe
food resource challenge, which they had never encountered in
their evolutionary history, to which they adapted over approxi-
mately ten generations. This exceptionally fast adaptation was
accompanied by a global transcriptional reprogramming of over
1000 genes. Further, only a few of the responding genes were simi-
lar when the experiment was reproduced, suggesting that this was
largely a non-specific genomic response to novel challenge, as the
overlapping genes had no significant functional similarity (accord-
ing to the gene ontology framework). The authors concluded that
the transcriptional response to a novel challenge is largely plastic,
which is crucial for responding to broad and unexpected environ-
mental challenges for which the genome cannot possibly have
been pre-adapted in the course of evolution. In the context of
our discussion here, however, this study also suggests, since simi-
lar molecular cascades are utilized in the social behavior of many
animals, that these responses were in fact "written" into our geno-
mes early on in our evolutionary history.

Systems biology has brought two hypotheses forward with
which we can explain the evolution of social behavior: develop-
mental system drift and phenologs (Fig. 5). The notion of develop-
mental systems drift, which emphasizes the plasticity of
developing systems in response to selection, states that even when
developmental pathways diverge through time, there may be no
accompanying change in the resulting phenotype [285]. In the con-
text of social behavior this can mean that behavioral responses or
brain regions that regulate behavior can be homologous even
though their morphological substrates or developmental origins
are not homologous [272]. A well-understood example is that of
sex determination, as sex can be determined by chromosome dos-
age, sex-determining genes, or environmental factors such as tem-
perature [93,213,225,237,253]. These very different underlying
mechanisms give rise to males and females with sex-typical

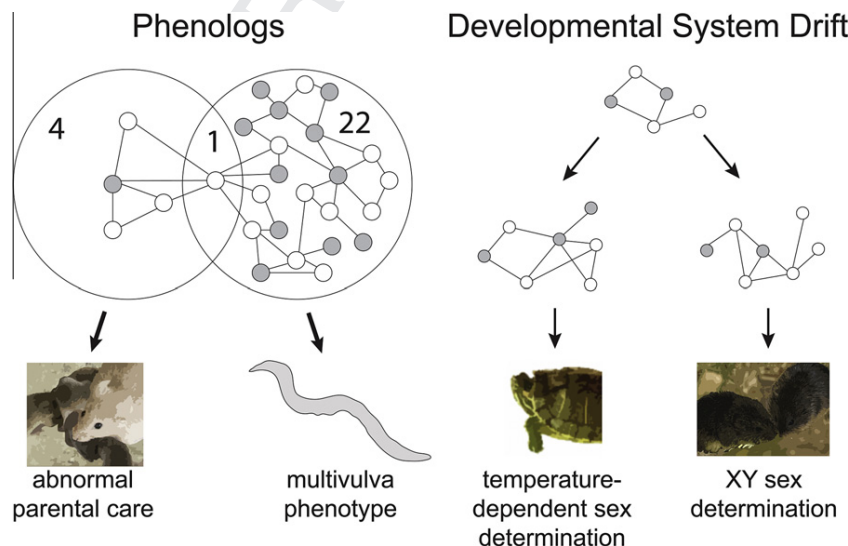


Fig. 5. Alternative hypotheses for the evolution of neuroethological mechanisms. The phenolog hypothesis predicts that some gene/protein-interaction networks underlying social behavior and other complex phenotypes can be conserved across animals, even if the phenotypes are completely different. The developmental system drift hypothesis states that the molecular mechanisms underlying homologous phenotypes can diverge substantially during the course of evolution. Nodes and edges represent gene networks involved in a phenotype.

behaviors. In contrast, the phenolog hypothesis (discussed in Section 2) suggests that there can also be conserved gene networks associated with orthologous phenotypes [178]. A behavioral example for a phenolog is the gene network underlying abnormal parental care in mice, where an “orthologous” gene network leads to the multivulva phenotype in worms (phenologs.org). These two seemingly opposing ideas are not mutually exclusive, and can both be acting to shape different behavioral phenotypes across populations or species, where one functionally equivalent behavioral phenotype across vertebrates may have very different underlying mechanisms where as two different behavioral phenotypes in different vertebrates may indeed have the same underlying mechanism.

8. Conclusion

Genomics is inherently a comparative science, as any genome is impossible to interpret without comparisons to other genomes in an effort to find protein coding regions and genetic changes that may covary with life history strategies. In the same way, the search for the molecular basis and evolution of social behavior is also a comparative task, and much work is needed to better understand putative molecular and genomic universals underlying social decisions in animals. This is particularly true for non-mammalian vertebrates as well as invertebrates, as information on how the brain regulates behavior in these groups is still relatively sparse. As information on how the neural and genomic substrates of behavior across a diverse array of animals becomes available, we will be able to determine if there are indeed molecular universals underlying the diverse behaviors that we see on our planet.

9. Uncited references

[105,228,233].

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